

JC14 Rec'd PCT/PTO 09 MAY 2002 #10

FORM PTO-1390 (REV. 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER CONDA.00001	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5 09/914,804	
INTERNATIONAL APPLICATION NO. PCT/JP00/09334		INTERNATIONAL FILING DATE August 30, 2001		PRIORITY DATE CLAIMED December 27, 2000	
TITLE OF INVENTION Rubber Coated Strands, Belt, Ply, Tire Using Rubber Coated Strands; and Apparatus and Method for Manufacturing Them					
APPLICANT(S) FOR DO/EO/US TAKAGI/FUJI					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<p>1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> has been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> is attached hereto.</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;">d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>					
<p>Items 11 to 20 below concern document(s) or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information:</p> <p style="margin-left: 40px;">Notification of Correction of Error in Small Entity Status; Postcard Receipt</p>					

RECEIVED
MAY 29 2002
TC 1700

RECEIVED
MAY 28 2002
TC 1700

COPY OF PAPERS
ORIGINALLY FILED

21. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO. **\$1000.00**International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO **\$860.00**International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$710.00**International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) **\$690.00**International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) **\$100.00****ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

\$ 860.00

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☒ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	20 - 20 =	0	x \$18.00	\$ 0.00
Independent claims	4 - 3 =	1	x \$80.00	\$ 80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$

TOTAL OF ABOVE CALCULATIONS = \$ 1,070.00☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2.**SUBTOTAL =** \$ 1,070.00Processing fee of **\$130.00** for furnishing the English translation later than ☒ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ 130.00

TOTAL NATIONAL FEE = \$ 1,200.00Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property +

\$ 0.00

TOTAL FEES ENCLOSED = \$ 535.00*Fees in the amount of \$665.00 previously paid at time of application filing. Fees
enclosed are to continue prosecution under large entity status. See Notification attached.Amount to be
refunded: \$

charged: \$

- a. ☒ A check in the amount of \$ 535.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ 7700 to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 50-0392. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING: Information on this form may become public. Credit card
information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

Colin P. Cahoon, Esq.
Carstens, Yee & Cahoon, L.L.P.
P.O. Box 802334
Dallas, TX 75380

SIGNATURE

Colin P. Cahoon

NAME

38,836

REGISTRATION NUMBER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shigemasa TAKAGI/FUJI SEIKO CO., LTD.
Serial No.: 09/914,804
Filing Date: August 30, 2001
Title: Rubber Coated Strands; Belt, Ply, and Tire Using Rubber Coated Strands; and Apparatus and Method for Manufacturing Them
Attorney Docket No.: CONDA.00001

Attn: BOX PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

**NOTIFICATION OF CORRECTION OF ERROR IN
SMALL ENTITY STATUS**


Applicant filed its PCT national stage application, U.S. Serial No. 09/914,804, on or about August 30, 2001, as referenced above. The undersigned counsel for Applicant, pursuant to Applicant's instruction and in good faith, claimed entitlement to small entity status on behalf of the Applicant and Applicant's Assignee, FUJI SEIKO CO., LTD. By correspondence dated April 26, 2002, from Japanese counsel for Applicant/Assignee, undersigned counsel was informed for the first time that the Applicant/Assignee's claim of entitlement to small entity status was in error.

Applicant therefore hereby notifies the United States Patent and Trademark Office of its loss of entitlement to claim small entity status and respectfully requests that U.S. Serial No. 09/914,804 proceed under large entity status on behalf of Assignee, FUJI SEIKO CO., LTD. Since the small entity status was established in good faith, the small entity fees were paid in good faith, and it was later discovered that such status as a small entity was established in error, Applicant hereby requests that this error be excused in light of

Applicant's compliance with the requirements of 37 C.F.R. 1.28(c)(1) and (c)(2) and the deficiency payment requirement of 37 C.F.R. 1.28(c)(2).

Enclosed is a check in the amount of \$535.00 in payment of the additional national stage filing fees to proceed under large entity status for the instant application. No other fees are believed to be due and owing at this time. However, the Commissioner is hereby authorized to charge payment of any additional fees associated with the foregoing, or to credit any overpayment thereof, to Deposit Account No. 50-0392.

Respectfully submitted,

By: 
Colin P. Cahoon
Registration No. 38,836

Date: April 30, 2002

CARSTENS, YEE & CAHOON, L.L.P.
P.O. Box 802334
Dallas, Texas 75380
Telephone: (972) 367-2001
Facsimile: (972) 367-2002

APR 30 '02
TX
PBMETER
6797742
0.57

RECEIVED

MAY 14 2002

PCT INITIAL PROCESSING

JC14 Rec'd PCT/PTO 09 MAY 2002
PCT/JP00/09384

CYC

CARSTENS, YEE & CAHOON, L.L.P.
P.O. Box 802334 • Dallas, Texas 75380

BOX PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
MAY 09 2002
USPTO MAIL CENTER